



098701105 - 0103012

#3

Attorney Docket No.: 407T-907720US  
Client Reference No.: 2000-453-3**DECLARATION**

As a below named inventor, I declare that:

My residence, post office address and citizenship are as stated below next to my name; I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural inventors are named below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: **HY2 FAMILY OF BILIN REDUCTASES** the specification of which    is attached hereto or   x   was filed on **May 29, 2001** as Application No. **09/870,406**.

I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56. I claim foreign priority benefits under Title 35, United States Code, Section 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

**Prior Foreign Application(s)**

Country	Application No.	Date of Filing	Priority Claimed Under 35 USC 119
WO	PCT/US01/18326	05 June 2001	08 June 2000

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below:

Application No.	Filing Date
60/271,758	February 26, 2001
60/210,286	June 8, 2000

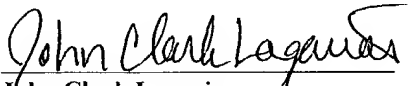
I claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, Section 1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

Application No.	Date of Filing	Status

Attorney Docket No.: 407T-907720US  
Client Reference No.: 2000-453-3

Full Name of Inventor 1	Full Name <b>John Clark Lagarias</b>		
Residence & Citizenship:	City <b>Davis</b>	State/Foreign Country <b>California/The United States of America</b>	Country of Citizenship <b>US</b>
Post Office Address:	Post Office Address <b>1853 Imperial Avenue Davis, California 95616</b>		
Full Name of Inventor 2	Full Name <b>Takayuki Kochi</b>		
Residence & Citizenship:	City <b>Ikoma</b>	State/Foreign Country <b>Nara/Japan</b>	Country of Citizenship <b>JP</b>
Post Office Address:	Post Office Address <b>8916-5 Takayama, Daigakusyuku sya A-404, Ikoma, Nara 630-0101</b>		
Full Name of Inventor 3:	Full Name <b>Nicole Frankenberg</b>		
Residence & Citizenship:	City <b>Davis</b>	State/Foreign Country <b>California/The United States of America</b>	Country of Citizenship <b>DE</b>
Post Office Address:	Post Office Address: <b>1224 F. Street #2 Davis, California 95616</b>		
Full Name of Inventor 4	Full Name <b>Gregory A. Gambetta</b>		
Residence & Citizenship:	City <b>Davis</b>	State/Foreign Country <b>California/The United States of America</b>	Country of Citizenship <b>US</b>
Post Office Address:	Post Office Address <b>2516 Temple Drive Davis, California 95616</b>		
Full Name of Inventor 5	Full Name <b>Beronda L. Montgomery</b>		
Residence & Citizenship:	City <b>Bloomington</b>	State/Foreign Country <b>Indiana/The United States of America</b>	Country of Citizenship <b>US</b>
Post Office Address:	Post Office Address <b>728 W. 17th Street Bloomington, Indiana 47404</b>		

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Signature of Inventor 1  <b>John Clark Lagarias</b> Date <b>08-23-01</b>	Signature of Inventor 2  <b>Takayuki Kochi</b> Date	Signature of Inventor 3  <b>Nicole Frankenberg</b> Date
Signature of Inventor 4  <b>Gregory A. Gambetta</b> Date		Signature of Inventor 5  <b>Beronda L. Montgomery</b> Date



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Signature of Inventor 1  <b>John Clark Lagarias</b> Date	Signature of Inventor 2  <i>Takayuki Kochi</i> <b>Takayuki Kochi</b> Date <u>8/22/2001</u>	Signature of Inventor 3  <b>Nicole Frankenberg</b> Date
Signature of Inventor 4  <b>Gregory A. Gambetta</b> Date		Signature of Inventor 5  <b>Beronda L. Montgomery</b> Date



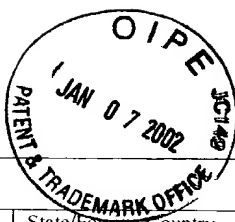
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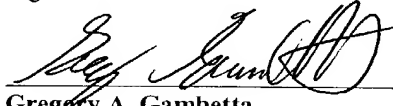
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<b>John Clark Lagarias</b>	<b>Takayuki Kochi</b>	<b>Nicole Frankenberg</b>
Date	Date	Date

Signature of Inventor 4	Signature of Inventor 5
 <b>Gregory A. Gambetta</b>	<b>Beronda L. Montgomery</b>
Date <b>08/23/01</b>	Date




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Attorney Docket No.: 407T-907720US

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Date	Date	Date
Signature of Inventor 4  <b>Gregory A. Gambetta</b>	Signature of Inventor 5   <b>Beronda L. Montgomery</b>	
Date	Date <b>8/28/2001</b>	

ASSIGNMENT

U.C. Case No. 2000-453-3

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

1. John Clark Lagarias                      2. Takayuki Kochi                      3. Nicole Frankenberg  
4. Gregory A. Gambetta                      5. Beronda L. Montgomery

hereby sells, assigns and transfers to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide administrative offices located 1111 Franklin Street, Twelfth Floor, Oakland, CA 94607-5200, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

**TITLE: HY2 FAMILY OF BILIN REDUCTASES**

and which is found in

- (a) \_\_\_\_\_ U.S. provisional application filed herewith and listing the above named persons as inventors  
(b) \_\_\_\_\_ U.S. patent application filed herewith and listing the above named persons as inventors  
(c) XX U.S. application serial no. 09/870,406, filed on May 29, 2001  
(d) \_\_\_\_\_ U.S. Patent No.: , issued

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

1949年7月1日

executed this

23 day of AUGUST, 2001

John Clark Laguarda

\_\_\_\_\_ day of \_\_\_\_\_, 2001

\_\_\_\_\_ day of \_\_\_\_\_, 2001

\_\_\_\_\_ day of \_\_\_\_\_, 2001

\_\_\_\_\_ day of \_\_\_\_\_, 2001

STATE OF CALIFORNIA )  
 )  
COUNTY OF )

WITNESS my hand and official seal.

Notary Public



407T-907720US

U.C. Case No. 2000-453-3  
407T-907720US  
Page 2

AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

executed this

Signature of Inventor(s)

\_\_\_\_ day of \_\_\_\_\_, 2001

22 day of August, 2001

Talmy, H. Kohli

\_\_\_\_ day of \_\_\_\_\_, 2001

\_\_\_\_ day of \_\_\_\_\_, 2001

\_\_\_\_ day of \_\_\_\_\_, 2001

[NOTE: THIS PART IS NOT ALWAYS NEEDED]

STATE OF CALIFORNIA )

COUNTY OF )

On \_\_\_\_\_, 2001, before me, the undersigned, a Notary Public in and for said State, personally appeared \_\_\_\_\_, personally known to me or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged that he/she executed the same.

WITNESS my hand and official seal.

\_\_\_\_\_  
Notary Public

U.C. Case No. 2000-453-3  
407T-907720US  
Page 2

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executed this

Signature of Inventor(s)

\_\_\_\_ day of \_\_\_\_\_, 2001

\_\_\_\_ day of \_\_\_\_\_, 2001

23 day of August, 2001

\_\_\_\_ day of \_\_\_\_\_, 2001

\_\_\_\_ day of \_\_\_\_\_, 2001

\_\_\_\_\_  
\_\_\_\_\_  
Nick Frank  
\_\_\_\_\_  
\_\_\_\_\_

[NOTE: THIS PART IS NOT ALWAYS NEEDED]

STATE OF CALIFORNIA     )  
                                      )  
COUNTY OF                )

On \_\_\_\_\_, 2001, before me, the undersigned, a Notary Public in and for said State, personally appeared \_\_\_\_\_, personally known to me or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged that he/she executed the same.

WITNESS my hand and official seal.

\_\_\_\_\_  
Notary Public

09274976 00113712

U.C. Case No. 2000-453-3  
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Page 2

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executed this

Signature of Inventor(s)

\_\_\_\_ day of \_\_\_\_\_, 2001

\_\_\_\_\_

\_\_\_\_ day of \_\_\_\_\_, 2001

\_\_\_\_\_

\_\_\_\_ day of \_\_\_\_\_, 2001

\_\_\_\_\_

23 day of August, 2001



\_\_\_\_ day of \_\_\_\_\_, 2001

\_\_\_\_\_

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Notary Public

0950416-010302

U.C. Case No. 2000-453-3  
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Page 2

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executed this

Signature of Inventor(s)

\_\_\_\_ day of \_\_\_\_\_, 2001

\_\_\_\_\_

\_\_\_\_ day of \_\_\_\_\_, 2001

\_\_\_\_\_

\_\_\_\_ day of \_\_\_\_\_, 2001

\_\_\_\_\_

\_\_\_\_ day of \_\_\_\_\_, 2001

\_\_\_\_\_

28 day of August, 2001

Fernando Montan

[NOTE: THIS PART IS NOT ALWAYS NEEDED]

STATE OF CALIFORNIA     )  
                                      )  
COUNTY OF                 )

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Notary Public

<b>POWER OF ATTORNEY OR AUTHORIZATION OF AGENT, NOT ACCOMPANYING APPLICATION</b>	Application Number	09/870,406
	Filing Date	May 29, 2001
	First Named Inventor	John Clark Lagarias
	Group Art Unit	Not yet known
	Examiner Name	Not yet known
	Attorney Docket Number	407T-907720US

I hereby appoint:

☒ Practitioners at Customer Number

22798

Type Customer Number Here

OR

Practitioner(s) named below:



Name	Registration Number

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the Patent and Trademark Office connected therewith.

Please change the correspondence address for the above-identified application to:

☒ The above-mentioned Customer Number.

THAT IS:

Firm or Individual Name	Law Offices of Jonathan Alan Quine				
Address	P.O. Box 458				
Address					
City	Alameda	State	CA	Zip	94501
Country	United States				
Telephone	(510) 337-7871	Fax	(510) 337-7877		

I am the

☐ Applicant.☒ Assignee of record of the entire interest (*Certificate under 37 CFR 3.73(b) is enclosed*)

SIGNATURE of Applicant or Assignee of Record

Name	Linda S. Stevenson
Signature	<i>Linda S. Stevenson</i>
Date	8-21-01

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States as first class mail in an envelope addressed to:  
Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: November 20, 2001

Typed or printed name

~~Richard Park~~ Chianti Applling

Signature

*[Signature]*

Date